

09/554921

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

422 Rec'd PCT/PTO 22 MAY 2000

IN RE APPLICATION OF: Thorsten SCHUTTE, et al.
SERIAL NUMBER: NEW U.S. PCT APPLICATION(BASED ON PCT/EP98/07729)
FILED: HEREWITH
FOR: TRANSFORMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

■ The applicant(s) wish to make of record the references listed on the attached PTO Form 1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which contains subject matter related to that disclosed in the subject application and other bulk filing applications. Three copies of each of the listed references were provided to the Patent Office consistent with the requirements in the attached Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

■ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

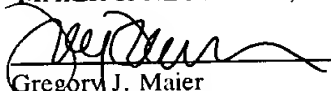
- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

Corrected 03/20/00

Docket Number:

9847-0001-6X PCT

Application Number
09/147,325

Applicant(s):
MATS LEIJON ET AL

Filing Date:
FEBRUARY 17, 1999

Group Art Unit:
2834

422 Rec'd PCT/PTO 22 MAY 2000
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
	12	US2006170	6/25/35	Gustof A. Juhlin			
	13	US2206856	7/2/40	W. E. Shearer			
	14	US2217430	10/8/40	R. A. Baudry			
	15	US2241832	5/13/41	H.W. Wahlquist			
	16	US2251291	8/5/41	L. O. Reichelt			
	17	US2256897	9/23/41	W. F. Davidson et al			
	18	US2295415	9/8/42	G.R. Monroe			
	19	US2415652	2/11/47	R. B. Norton			
	20	US2424443	7/22/47	B. C. Evans			
	21	US2436306	2/17/48	J. S. Johnson			
	22	US2446999	8/17/48	G. Camilli			
	23	US2459322	1/18/49	G. T. Johnston			
	24	US2462651	2/22/49	H. W. Lord			
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	36	US3130335	4/21/64	L. J. Rejda			
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	42	US3354331	11/21/67	H. L. Broeker et al			
	43	US3365657	1/23/68	James Webb			
	44	US3372283	5/5/68	A. A. Jaecklin			

Examiner

Date
Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

09/554921

422 Rec'd PC PTO 22 MAY 2000

45	US3418530	11/24/68	W. H. Cheever			
46	US3435262	3/25/69	R. B. Bennett et al			
47	US3437858	4/8/69	R. B. White			
48	US3444407	5/13/69	E.S. Yates			
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171	US4560896	12/24/85	G. Vogt et al			
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185	US4692731	9/8/87	H. Osinga			
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197	US4860430	8/29/89	H. Raschbichler et al			
198	US4864266	9/5/89	L. Feather et al			

Examiner

Date

Considered

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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422 Rec'd PTO 22 MAY 2000

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203	US4918835	4/24/90	H. Wcislo et al			
204	US4924342	5/8/90	R. Lee			
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207	US4949001	8/14/90	S. Campbell			
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218	US5097241	3/17/92	E. Smith et al			
219	US5097591	3/24/92	M. Wcislo et al			
220	US5111095	5/5/92	J. Hendershot			
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236	US5341281	8/23/94	G. Skibinski			
237	US5343139	8/30/94	L. Gyugyi et al			
238	US5355046	10/11/94	K. Weigelt			
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247	US5530307	6/25/96	G. Horst			
248	US5545853	8/13/96	N. Hildreth			
249	US5550410	8/27/96	C. Titus			
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Examiner

Date
Considered

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INFORMATION DISCLOSURE CITATION LIST
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259	US681800	9/3/01	O. Lasche			
Subtotal	259					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	AT399790	7/25/95	Austria		
	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
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	7	DD137164	8/15/79	Germany DDR		
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	15	DE2656389	6/15/78	Germany		
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	19	DE2824951	12/20/79	Germany		
	20	DE2835386	2/21/80	Germany		
	21	DE2839517	3/27/80	Germany		
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	25	DE2920478	12/4/80	Germany		
	26	DE2939004	4/9/81	Germany		
	27	DE3006382	8/27/81	Germany		
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	31	DE3305225	8/16/84	Germany		
	32	DE3309051	9/20/84	Germany		
	33	DE336418	6/23/20	Germany		
	34	DE3441311	5/15/86	Germany		
	35	DE3543106	6/11/87	Germany		
	36	DE3612112	10/15/87	Germany		
	37	DE372390	3/27/23	Germany		
	38	DE3726346	2/16/89	Germany		
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42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
Subtotal	43	

GRAND TOTAL	671		
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Examiner

Date

Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Docket Number:

9847-0001-6X PCT

09/554921
Application Number

09/147,325

Applicant(s):

MATS LEIJON ET AL

Filing Date:

FEBRUARY 17, 1999

Group Art Unit:

2834

U.S. PATENT DOCUMENTS

422 Rec'd PCT/PTO 22 MAY 2000

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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Subtotal

Examiner

Date

Considered

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

09/554921
Issue2: dated 02/21/00

422 Rec'd PCT/PTO 22 MAY 2000

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstien-gesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Steneorps-gatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
	11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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Examiner	Date Considered
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*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

09/554921

Issue 2, dated 09/21/00

422 Rec'd PTO

22 MAY 2000

OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

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Subtotal

GRAND TOTAL

Examiner Date
Considered
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